



Borough of  
Tinton Falls  
New Jersey

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SHANON RATHYEN, QPA  
PURCHASING AGENT

556 TINTON AVENUE  
TINTON FALLS, NJ 07724  
732-542-3400 EXT. 252  
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# **REQUEST FOR PROPOSALS FOR PROFESSIONAL AUDITING SERVICES**

## **RFP #14-21**

PROPOSALS TO BE RECEIVED AT

3:00 PM on

THURSDAY, DECEMBER 3, 2020

**SHANON RATHYEN, QPA  
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## **BOROUGH OF TINTON FALLS**

### **NOTICE OF REQUEST FOR PROPOSALS FOR PROFESSIONAL AUDITING SERVICES FOR 2021 AUDIT RFP #14-21**

Notice is hereby given that, in accordance with NJSA 19:44-20.5 et seq., through a fair and open process, the Borough of Tinton Falls is requesting sealed proposals for Professional Auditing Services for the 2021 Audit.

**DATE OF RECEIPT OF PROPOSAL: THURSDAY, DECEMBER 3, 2020 at 3:00 PM**

Proposal packages may be obtained as PDF files from the Borough's website at [www.tintonfalls.com](http://www.tintonfalls.com) (click on Competitive Bidding in the left column and then Requests for Proposals.) If you prefer to have a proposal package mailed to you, please call me at 732-542-3400 Ext. 252, or email me at [srathyen@tintonfalls.com](mailto:srathyen@tintonfalls.com).

Proposal/Submissions will be received by the Purchasing Agent, at the Borough of Tinton Falls, 556 Tinton Avenue, Tinton Falls, NJ on the date and time noted above. Firms need not be present and may mail or deliver proposal package to the attention of the Purchasing Agent at the above address **prior** to date and time of above.

Professional service contractors are required to comply with the requirements of N.J.S.A. 10:5-31 et seq, and N.J.A.C. 17:27 et seq., PL 2004, c.1. Successful contractors will also be required to comply with all terms imposed by NJ Election Laws NJSA 19:44A-20.27 subject to campaign funding limits, and with the Borough of Tinton Falls "Pay to Play" Ordinance #05-1146.

SHANON RATHYEN, QPA  
PURCHASING AGENT

**TO BE PUBLISHED: TUESDAY, NOVEMBER 17, 2020**

**RFP #14-21  
REQUEST FOR PROPOSALS FOR  
PROFESSIONAL AUDITING SERVICES  
FOR 2021 AUDIT**

**Request for Qualifications for Professional Auditing Services**

The Borough of Tinton Falls is requesting qualifications from accountants, licensed as a Registered Municipal Accountant by the State of New Jersey, to conduct an audit of financial statements of the Borough for the year ending December 31, 2021, in accordance with New Jersey statutory requirements.

Qualifications submission must include all of the following:

1. Name, address, phone and fax number of firm. If multiple office sites, list all, and indicate corporate office.
2. Name of individual with RMA license applying for appointment.
3. Biography or history of the firm.
4. List of principals and/or partners.
5. List of personnel that would be assigned to the Borough of Tinton Falls audit, including a summary of their education, qualifications, expertise and years of experience as it relates to the services the Borough requires.
6. List of references from at least 2 municipalities for which the firm has provided similar services, including name, address, phone and contact information.
7. Provide prior experience, if any, the firm may have providing services to the Borough of Tinton Falls, and in what capacity.
8. List of municipalities currently under contract with the firm.
9. Provide a lump sum fee for audit of 2021 financial records as outlined in the paragraph above and in accordance with New Jersey State statutes, rules and regulations regarding annual audit of municipal records.
10. Copy of current Certificate of Professional Liability Insurance.

**All proposals shall be addressed to *Shanon Rathyen, Purchasing Agent at the Borough of Tinton Falls, 556 Tinton Avenue, Tinton Falls, New Jersey 07724* and shall be received in the Purchasing Department no later than **Thursday, December 3, 2020 at 3:00 PM**. *One original and one copy of the proposal shall be submitted.***

**The proposal form shall be submitted in a sealed envelope bearing the name and address of the applicant written on the face of the envelope and clearly marked "Proposal" with the contract title for which you are applying.**

## **Evaluation, Review and Selection Process**

An evaluation team will review all proposals to determine if they satisfy the proposal requirements, determine if a proposal should be rejected and then recommend award to the governing body.

Proposals will be reviewed and award of contract will be based upon the following criteria:

- Experience and reputation of the firm in the field of auditing services.
- Qualifications of the individuals who will perform the required services, and their respective participation.
- Years of experience of the individuals as it relates to the particular expertise required to perform the contract.
- Ability of the firm to perform the services on a timely basis, including staffing and familiarity with the subject matter.
- Experience with or specific knowledge the Borough of Tinton Falls as it pertains to the contract.
- Professional fee schedule as requested in the Request for Qualifications attached.
- References

## **STATUTORY AND OTHER REQUIREMENTS**

### **A. Mandatory Affirmative Action Certification**

No firm may be issued a contract unless it complies with the affirmative action regulations of N.J.S.A. 10:5-32 et seq. (Pl. 1975, c.127).

1. Procurement, Professional and Service Contracts All successful vendors must submit, within seven days after the receipt of the notice of intent to award the contract or the receipt of the contract, one of the following:

- a. A photocopy of a valid letter for an approved Federal Affirmative Action Plan (good for one year from the date of the letter), or
- b. A photocopy of an approved Certificate of Employee Information Report, or
- c. If the vendor has none of the above, the public agency is required to provide the vendor with an initial Affirmative Action Employee Information Report (AA-302).

### **B. Stockholder Disclosure**

Chapter 33 of the Public Laws of 1977 provides that no corporation or partnership shall be awarded any contract for the performance of any work or the furnishing of any materials or supplies, unless, prior to the receipt of the proposal or accompanying the proposal of said corporation or partnership, there is submitted a statement setting forth the names and addresses of all stockholders in the corporation or partnership who own ten percent or more of its stock of any class, or of all individual partners in the partnership who own a ten percent or greater interest therein. Form of Statement is enclosed and shall be completed and returned with proposal.

### **C. Non-Collusion Affidavit**

The Non-Collusion Affidavit, which is enclosed with this RFP, shall be properly executed and submitted with the proposal.

### **D. New Jersey Business Registration Requirements Non-Construction Contracts**

NJSA 52:32-44 requires that each vendor submit proof of business registration with the RFP package. Proof of registration shall be a copy of the Business Registration Certificate (BRC). A BRC is obtained from the New Jersey Division of Revenue on-line at [www.nj.gov/njbgs](http://www.nj.gov/njbgs) or by phone at 609-292-1730.

### **E. Pay-To-Play Disclosure Certification and Form**

Successful contractors must also be required to comply with all terms imposed by NJ Election Laws NJSA 19:44A-20.27 subject to campaign funding limits and with the Borough of Tinton Falls "Pay to Play" Ordinance #05-1146.

The Borough reserves the right to reject any or all proposals if the evidence submitted by, or investigation of such respondent fails to satisfy the owner that such respondent is properly qualified to carry out the obligation of the RFP and to complete the work contemplated therein. The owner reserves the right to waive any minor informality in the RFP.

### **Notice of Award**

The successful respondent will be notified of the award of contract upon a favorable decision by the Governing Body.

**NON-COLLUSION AFFIDAVIT**

STATE OF NEW JERSEY )

COUNTY OF \_\_\_\_\_)

I, \_\_\_\_\_ of the Municipality of \_\_\_\_\_  
(Print Name) (Print)

in the County of \_\_\_\_\_ and the State of \_\_\_\_\_

am of full age, being duly sworn according to law on my oath depose and say that:

I am \_\_\_\_\_ of the firm of \_\_\_\_\_  
Print Title Print Name of Firm

the bidder making this Proposal enclosed and that I executed the said proposal with full authority to do so that said bidder has not, directly or indirectly entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free, competitive bidding in connection with the above named project; and that all statements contained in said proposal and in this affidavit are true and correct, and made with full knowledge that the Borough of Tinton Falls relies upon the truth of the statements contained in said Proposal and in the statements contained in this affidavit in awarding the contract for the said project.

I further warrant that no person or selling agency has been employed or retained to solicit or secure such contract upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, except bona fide employees or bona fide established commercial or selling agencies maintained by

\_\_\_\_\_  
Name of Firm NJSA 52:34-15

\_\_\_\_\_  
Print Address

\_\_\_\_\_  
Witness

\_\_\_\_\_  
Authorized Signature

Subscribed and sworn to before me  
this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_  
State of \_\_\_\_\_  
County of \_\_\_\_\_

\_\_\_\_\_  
Print Name and Title

\_\_\_\_\_  
Signature of Notary Public

(SEAL)

My commission expires on \_\_\_\_\_

**BOROUGH OF TINTON FALLS  
STOCKHOLDER DISCLOSURE CERTIFICATION  
This Statement Shall Be Included with Bid Submission**

**Name of Business** \_\_\_\_\_

I certify that the list below contains the names and home addresses of all stockholders holding 10% or more of the issued and outstanding stock of the undersigned.

**OR**

I certify that no one stockholder owns 10% or more of the issued and outstanding stock of the undersigned.

Check the box that represents the type of business organization:

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Partnership              | <input type="checkbox"/> Corporation                   | <input type="checkbox"/> Sole Proprietorship           |
| <input type="checkbox"/> Limited Partnership      | <input type="checkbox"/> Limited Liability Corporation | <input type="checkbox"/> Limited Liability Partnership |
| <input type="checkbox"/> Subchapter S Corporation |  |  |

Sign and notarize the form below, and if necessary, complete the stockholder list below.

**STOCKHOLDERS:**

Name: \_\_\_\_\_ Name: \_\_\_\_\_

Home Address: \_\_\_\_\_ Home Address: \_\_\_\_\_

\_\_\_\_\_

Name: \_\_\_\_\_ Name: \_\_\_\_\_

Home Address: \_\_\_\_\_ Home Address: \_\_\_\_\_

\_\_\_\_\_

Subscribed and sworn before me this  
\_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Notary Public

\_\_\_\_\_  
(Name of Business)

My commission expires on: \_\_\_\_\_

\_\_\_\_\_

(SEAL)



**AMERICANS WITH DISABILITIES ACT OF 1990**  
**Equal Opportunity for Individuals with Disability**

The contractor and the Borough of Tinton Falls, (hereafter "owner") do hereby agree that the provisions of Title 11 of the Americans With Disabilities Act of 1990 (the "Act") (42 U.S.C. S121 01 et seq.), which prohibits discrimination on the basis of disability by public entities in all services, programs, and activities provided or made available by public entities, and the rules and regulations promulgated pursuant there unto, are made a part of this contract. In providing any aid, benefit, or service on behalf of the owner pursuant to this contract, the contractor agrees that the performance shall be in strict compliance with the Act. In the event that the contractor, its agents, servants, employees, or subcontractors violate or are alleged to have violated the Act during the performance of this contract, the contractor shall defend the owner in any action or administrative proceeding commenced pursuant to this Act. The contractor shall indemnify, protect, and save harmless the owner, its agents, servants, and employees from and against any and all suits, claims, losses, demands, or damages, of whatever kind of nature arising out of or claimed to arise out of the alleged violation. The contractor shall, at its own expense, appear, defend, and pay any and all charges for legal services and any and all costs and other expenses arising from such action or administrative proceeding or incurred in connection therewith. In any and all complaints brought pursuant to the owner's grievance procedure, the contractor agrees to abide by any decision of the owner which is rendered pursuant to said grievance procedure. If any action or administrative proceeding results in an award of damages against the owner, or if the owner incurs any expense to cure a violation of the ADA which has been brought pursuant to its grievance procedure, the contractor shall satisfy and discharge the same at its own expense.

The owner shall, as soon as practicable after a claim has been made against it, give written notice thereof to the contractor along with full and complete particulars of the claim, If any action or administrative proceeding is brought against the owner or any of its agents, servants, and employees, the *owner shall* expeditiously forward or have forwarded to the contractor every demand, complaint, notice, summons, pleading, or other process received by the owner or its representatives.

It is expressly agreed and understood that any approval by the owner of the services provided by the contractor pursuant to this contract will not relieve the contractor of the obligation to comply with the Act and to defend, indemnify, protect, and save harmless the owner pursuant to this paragraph.

It is further agreed and understood that the owner assumes no obligation to indemnify or save harmless the contractor, its agents, servants, employees and subcontractors for any claim which may arise out of their performance of this Agreement. Furthermore, the contractor expressly understands and agrees that the provisions of this indemnification clause shall in no way limit the contractor's obligations assumed in this Agreement, nor shall they be construed to relieve the contractor from any liability, nor preclude the owner from taking any other actions available to it under any other provisions of the Agreement or otherwise at law.

# PROPOSAL

## RFP #14-21 REQUEST FOR PROPOSAL FOR PROFESSIONAL AUDITING SERVICES FOR 2021 AUDIT

**TO THE BOROUGH OF TINTON FALLS  
BOROUGH COUNCIL:**

The undersigned declares they have read the Request for Proposal, Non-Collusion Affidavit and Stockholder Disclosure, that they have determined the conditions affecting the proposal and agrees, if this proposal is accepted, to furnish and deliver services for the following auditing services:

**Lump sum for audit of 2021 financial  
records as outlined in proposal.**

**\$ \_\_\_\_\_**

# PROPOSAL

## REQUEST FOR PROPOSAL FOR PROFESSIONAL AUDITING SERVICING FOR 2021 AUDIT

### TO THE BOROUGH OF TINTON FALLS BOROUGH COUNCIL:

The undersigned declares they have examined and fully understand the Borough's application process and other documents herein referred to, and if this proposal is accepted, to furnish and deliver services requested and to perform all work in accordance with the contract documents to be provided upon award.

FIRM: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

\_\_\_\_\_

TELEPHONE NO.: \_\_\_\_\_ FAX NO.: \_\_\_\_\_

EMAIL ADDRESS: \_\_\_\_\_

FEDERAL I.D. # OR SOCIAL SECURITY NO.: \_\_\_\_\_

SIGNATURE OF AGENT: \_\_\_\_\_

TYPE OR PRINT NAME OF AGENT: \_\_\_\_\_

\_\_\_\_\_  
SEAL IF A CORPORATION

### CHECKLIST – ENCLOSURES

Original and One (1) copy of proposal	_____
Business Registration Certificate	_____
Non-Collusion Affidavit	_____
Stockholder Disclosure	_____
Experience/Qualifications	_____
References	_____
Signed Proposal	_____